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FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER PF-0741 USN

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) TO BE ASSIGNED / 070226

INTERNATIONAL APPLICATION NO. PCT/US00/25435

INTERNATIONAL FILING DAT 14 September 2000

PRIORITY DATE CLAIMED
15 Sentember 1999

TITLE OF INVENTION

PROTEINS ASSOCIATED WITH CELL DIFFERENTIATION

APPLICANT(S) FOR DO/EO/US

TANG, Y. Tom; HILLMAN, Jennifer L.; YUE, Henry; REDDY, Roopa; LAL, Preeti; SHAH, Purvi; AZIMZAI, Yalda BAUGHN, Mariah R.; LU, Dyung Aina M.; BANDMAN, Olga; SHIH, Leo L.; ARVIZU, Chandra

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ☑ This is the **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2.

 This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).
- 4. \Box The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- 5.

 A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. \square is attached hereto (required only if not communicated by the International Bureau)
 - b. \square has been communicated by the International Bureau.
 - c.

 is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. □ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7.

 Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. \square are attached hereto (required only if not communicated by the International Bureau).
 - b. □ have been communicated by the International Bureau.
 - c. \Box have not been made; however, the time limit for making such amendments has NOT expired.
 - d. □ have not been made and will not be made.
 - e.

 attached hereto Article 34 Amendment
- 8. \square An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10.□ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 16 below concern document(s) or information included:

- 11. □ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.27 and 3.31 is included.
- 13. A FIRST preliminary amendment, as follows: Cancel in this application original claims 12, 14, 18, 20,
- 21, 23, 24, 27, 29 35 before calculating the filing fee, without prejudice or disclaimer. Applicants submit that these claims were included in the application as filed in the interest of providing notice to the public of certain specific subject matter intended to be claimed, and are being canceled at this time in the interest of reducing filing costs. Applicants expressly state that these claims are not being canceled for reasons related to patentability, and are in fact fully supported by the specification as filed. Applicants expressly reserve the right to reinstate these claims or to add other claims during prosecution of this application or a continuation or divisional application. Applicants expressly do not disclaim the subject matter of any invention disclosed herein which is not set forth in the instantly filed claims.
 - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- 14. □ A substitute specification.
- 15. □ A change of power of attorney and/or address letter.
- 16.

 Other items or information:
- 1) Transmittal Letter (2 pp, in duplicate)
- 2) Return Postcard
- 3) Express Mail Label No.: EL 856 146 343 US
- 4) Sequence Listing Statement

U.S. APPLICATION NO TO BE AS IGNED	07027269	INTERNATIONAL APPLICATION NO.: PCT/US00/25435			ATFORNEY'S DOCKET NUMBER PF-0741 USN		
17. The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1000.00 □International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$860.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.482) not paid to USPTO □International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$710.00 □International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00							
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$710.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than □ 20 □ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total Claims	20 =	0	X \$ 18.00		\$		
Independent Claims	2 =	0	X \$ 80.00		\$		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$270.00					\$		
TOTAL OF ABOVE CALCULATIONS =					\$		
□ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$		
SUBTOTAL =					\$710.00		
Processing fee of \$130.00 for furnishing the English translation later than □ 20 □ 30 months from the earliest clailmed priority date (37 CFR 1492(f)). +					\$		
TOTAL NATIONAL FEE =					\$710.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							
TOTAL FEES ENCLOSED =					\$710.00		
					Amount to be Refunded:	\$	
	· · · · · · · · · · · · · · · · · · ·				Charged:	\$	
a. □ A check in the amount of \$ to cover the above fees is enclosed. b. ❷ Please charge my Deposit Account No. <u>09-0108</u> in the amount of \$710.00 to cover the above fees. c. ❷ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>09-0108</u> . A duplicate copy of this sheet is enclosed.							
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							

 ${\bf SEND} \ {\bf ALL} \ {\bf CORRESPONDENCE} \ {\bf TO};$

INCYTE GENOMICS, INC. 3160 Porter Drive Palo Alto, CA 94304

SIGNATURE

NAME: Diana Hamlet-Cox

REGISTRATION NUMBER: 33,302

DATE: 27 February 2002



Docket No.: PF-0741 USN

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Tang, et al.

Title: Proteins Associated With Cell Differentiation

PCT Serial No.: PCT/US00/25435

Int'l Filing Date: 14 September 2000

Examiner:

To Be Assigned

Group Art Unit:

To Be Assigned

Assistant Commissioner for Patents

Box Patent Application Washington, D.C. 20231

SUBMISSION UNDER 37 CFR § 1.821-1.825 SEQUENCE LISTING

Sir:

In accordance with the requirements of 37 CFR § 1.821-1.825, Applicants hereby submit one (1) diskette(s) containing the computer-readable information for the Sequence Listing of the above-identified application. The content of the Sequence Listing paper copy is identical to the computer-readable copy filed with the US Receiving Office. The USPTO is authorized to add whatever is necessary to update the CRF with the current application information.

Respectfully submitted,

INCYTE GENOMICS, INC.

Date: 27 February 2002

Diana Hamlet-Cox

Reg. No. 33,302

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